

COURSE OVERVIEW

This course is conducted by virtual classroom online

This course will help Financial Markets Junior Traders & Sales with requirement for understanding of the end-to-end trade process flow, internal approval policy and documentation knowledge. The programme will enhance participants' understanding on financial markets risk management & operational policies and review processes. This course will cover trade confirmation flow process, disclosure of product features and risks. There will be a case study to illustrate the importance of a robust treasury processes & controls. The case study will help participants understand the importance of checks & controls processes in the front office and back office support function.

Who Should Attend

Junior Financial Markets Trader, Sales and Middle Office Support Executives with knowledge of financial products and who want to acquire a deeper understanding and knowledge of end-to-end STP trade flow process, P/L production and documentation requirements.

OBJECTIVES

Objectives of this course are:

- Gain an overview on trade processing flow and front office P&L production.
- Comprehend key operational control tools.
- Acquire basic understanding on accounting treatment for financial markets products.

There will be an Assessment (MCQ)

Duration of Course – 2 ½ Hours

Course Fees - S\$ 500 (Nett Fees S\$60; IBF FTS Funding & GST included)

Trainer: Justina Koh

***Requirement: Computer Device with video / camera function**

For Trainers' Profiles, Policies and Guidelines on course management and related polices pls visit ACI website at www.acisin.com

Financial Market Operational Control and Support for Trading & Sales

Program Code: P200610SKK

Course Outline

1. Overview on trade processing flow and P&L Production

- a) Straight-through trade processing flow
- b) Front Office P&L Production

2. Understand documentation

- a) Documentation requirements
- b) Overview on ISDA documents

3. Key operational control tools

- a) Product Control: New product Approval; Price & Model Verification; P&L Control
- b) Trade Clearing
- c) Confirmation matching and resolution of discrepancies
- d) Reconciliations
- e) Payment Control

4. Acquire basic understanding on accounting treatment for financial markets products

- a) IFRS 9 – Recognition & Measurement
- b) IFRS 13 – Fair Value Measurement
- c) IFRS 7 – Financial Instruments: Disclosures

5. Case Study

- Lesson to learn from huge FX losses at NAB